



Receipt  
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PATENT  
Attorney Docket No. UCSD1370-5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Carson and Albani      Art Unit: 1645  
Application No.: 09/616,247      Examiner: Shahnan-Shah, K.S.  
Filed: July 14, 2000  
Title: VACCINE COMPOSITIONS AND METHODS USEFUL IN INDUCING  
IMMUNE PROTECTION AGAINST ARTHRITIC PEPTIDES  
INVOLVED IN THE PATHOGENESIS OF RHEUMATOID  
ARTHRITIS

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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JUN 24 2002

TECH CENTER 1600/2900

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in connection with the above-identified patent application,  
please find the following:

- (1) Request for Corrected Filing Receipt; (2 pgs)
- (2) Copy of Filing Receipt reflecting changes; (4 pgs)
- (3) Copy of Preliminary Amendment; (1pg) and
- (4) Return postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are  
being deposited with the United States Postal Service as first class  
mail on this date, 6-6-02, in an envelope addressed to:  
Commissioner for Patents, Washington, D.C. 20231

Yinfa Wang  
(Name of Person mailing Paper)

[Signature]  
(Signature of Person Mailing Paper)

6-6-02  
Date

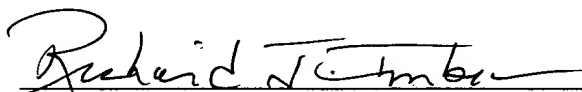
In re Application of:  
Carson and Albani  
Application No.: 09/616,247  
Filed: July 14, 2000  
Page 2

PATENT  
Atty Docket No.: UCSD1370-5

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355. A copy of this Transmittal Letter is enclosed.

Respectfully submitted,

Date: June 6, 2002



Richard J. Imbra  
Registration No. 37,643  
Telephone: (858) 677-1496  
Facsimile: (858) 677-1465

**USPTO CUSTOMER NO. 28213**

GRAY CARY WARE & FREIDENRICH LLP  
4365 Executive Drive, Suite 1100  
San Diego, California 92121-2133



PATENT  
Attorney Docket No. UCSD1370-5

THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Examiner: Shahnan-Shah, K.S.

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Office of Initial Patent Examination  
Customer Service Center  
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JUN 24 2002

REQUEST FOR CORRECTION OF FILING RECEIPT TECH CENTER 1600/2900

Sir:

This Communication is submitted to request correction of the filing receipt in reference to the above-identified application. The data requiring correction is indicated in yellow highlight on the attached copy of the filing receipt and herein in **BOLD**.

In the Continuing Data:

Please delete the first line, delete second line and replace with --**THIS APPLICATION IS A DIV  
OF 09/107,615 6/30/98 PAT 6,153,200**--.

Docket No.:

Please change the Docket No. to read as follows --**UCSD1370-5**--.

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, <u>6-6-02</u> , in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231	
<u>Yinia Najar</u> (Name of Person mailing Paper)	
<u>[Signature]</u> (Signature of Person Mailing Paper)	<u>6-6-02</u> Date

In re Application of:  
Carson and Albani  
Application No.: 09/616,247  
Filed: July 14, 2000  
Page 2

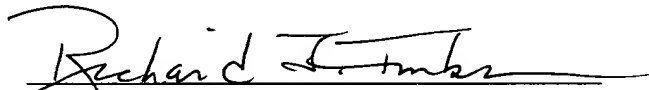
PATENT  
Atty Docket No.: UCSD1370-5

Applicants accordingly requests the correction be made of record.

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: June 6, 2002

  
Richard J. Imbra  
Registration No. 37,643  
Telephone: (858) 677-1496  
Facsimile: (858) 677-1465

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4365 Executive Drive, Suite 1100  
San Diego, California 92121-2133



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/616,247	07/14/2000	1645	345	30448.80USD2	5	16	1

Karen S Canady  
Gates & Cooper  
6701 Center Drive West Suite 1050  
Howard Hughes Center  
Los Angeles, CA 90045

## CORRECTED FILING RECEIPT



\*OC00000005481181\*

Date Mailed: 10/17/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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## Applicant(s)

Dennis A. Carson, Del Mar, CA ;  
Salvatore Albani, Encinitas, CA ;

JUN 24 2002

TECH CENTER 1600/2900

## Continuing Data as Claimed by Applicant

~~THIS APPLICATION IS A CIP OF 08/246,988 05/20/1994 ABN~~ *delete*

~~WHICH IS A DIV OF 09/107,615 06/30/1998~~ *PAT 6,153,200 add*

WHICH IS A DIV OF 08/618,464 03/15/1996 PAT 5,773,570

WHICH IS A CIP OF 08/246,988 05/20/1994 ABN

## Foreign Applications

If Required, Foreign Filing License Granted 09/19/2000

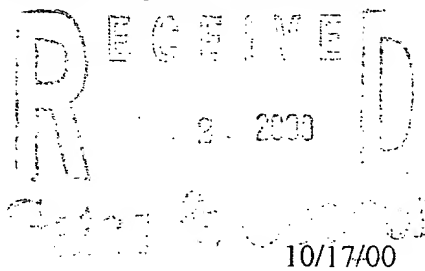
\*\* SMALL ENTITY \*\*

## Title

Vaccine compositions and methods useful in inducing immune protection against arthritogenic peptides involved in the pathogenesis of rheumatoid arthritis

## Preliminary Class

424



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Data entry by : MEREDITH, WANDA

Team : OIPE

Date: 10/17/2000





**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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